

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the **PATENT APPLICATION** of:

Faria et al.

Application No.: Not Yet Known

Filed: Not Yet Known

For: LEVER FOR A VALVE CONTROL OF A
PISTON MACHINE

Group: Not Yet Known

Examiner: Not Yet Known

Our File: INA-PT185
(4366-18-US)

Date: September 12, 2006

INFORMATION DISCLOSURE STATEMENT

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Further to Applicants' Duty of Disclosure pursuant to 37 C.F.R. §1.56, Applicants wish to bring to the Examiner's attention the material cited on the enclosed PTO-1449 form. Copies of the cited documents are enclosed.

Pursuant to 37 C.F.R. §1.98(a)(2)(ii), copies of the cited U.S. publications and/or patent documents have not been included.

The references cited in this Information Disclosure Statement were either listed in an International Search Report in the corresponding International Application or cited in the German Search Report from the original priority application, copies enclosed. It is noted that for JP 11 210 417, JP 11 21 418 and JP 2004 066259, only the

English language abstracts and drawings were cited in the German Search Report. Of the non-English language documents cited in the German Search Report, DE 100 30 341, column 3, lines 54-60, was cited as Category Y with respect to claim 1. WO 03/064821, Figures 2-5 and 7, was cited as Category X with respect to claims 1-3 and 5. The article by Segeth, Wolfgang was cited as Category Y with respect to claim 1. Each of the documents cited in the International Search Report was cited as Category A (Technological Background).

It is respectfully requested that the Examiner consider these documents and return an initialed copy of the PTO-1449 form indicating his consideration of the cited materials.

Respectfully submitted,

Faria et al.

By____/Randolph J. Huis/_____
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United Plaza, Suite 1600
30 South 17th Street
Philadelphia, PA 19103
RJH/dmm

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number			
	Filing Date			
	First Named Inventor	Faria et al.		
	Art Unit			
	Examiner Name			
Attorney Docket Number		INA-PT185 (4866-18-US)		

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	1	11210417	JP		1999-08-03	Uedhi et al.		<input type="checkbox"/>
	2	11210418	JP		1999-08-03	Iwata et al.		<input type="checkbox"/>
	3	19742778	DE		1999-04-01	Herttrich et al.		<input type="checkbox"/>

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Application Number		
Filing Date		
First Named Inventor	Faria et al.	
Art Unit		
Examiner Name		
Attorney Docket Number	INA-PT185 (4866-18-US)	

4	10030341	DE		2002-01-03	Reinhard Ammon		<input type="checkbox"/>
5	03044330	WO		2003-05-30	Masayo Shimizu		<input type="checkbox"/>
6	03064821	WO		2003-08-07	Kiyoshi Yamazaki		<input type="checkbox"/>
7	2004066259	JP		2004-03-04	Tomoyuki Suzuki		<input type="checkbox"/>

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NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T5
	1	Segel, Wolfgang: Elementare Logik, 3. unveränderte Auflage. WEB-Deutscher Verlag der Wissenschaften, Berlin, 1970, S. 74-76.	<input type="checkbox"/>

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¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	
Filing Date	
First Named Inventor	Faria et al.
Art Unit	
Examiner Name	
Attorney Docket Number	INA-PT185 (4866-18-US)

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Randolph J. Huis/	Date (YYYY-MM-DD)	2006-09-12
Name/Print	Randolph J. Huis	Registration Number	34626

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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